



In re application of: Lewis et al.

Serial No.: 09/280,796

Filed: March 26, 1999

For: METHOD AND SYSTEM FOR DYNAMIC MANAGEMENT OF BUFFERS

ASSISTANT COMMISSIONER OF PATENTS
WASHINGTON, DC 20231

Sir:

Transmitted herewith in the above-identified application are:

- ☐ sheets of informal drawing(s)
☐ Petition and Fee for Extension of Time
☐ Amendment/Response to Restriction Requirement
☐ Request for Change in Drawings
☒ Declaration and Power of Attorney for Patent Application
☒ Assignment of the invention to International Business Machines Corporation
☒ Notice to File Missing Parts of Application -- Filing Date Granted
☐ No additional fee is required.

The fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT.. FEE
TOTAL MINUS	20	= 0	x 22 =	\$.00
INDEP. MINUS	3	= 0	x 82 =	\$.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+270 =	\$
			Surcharge	\$ 130.00
			TOTAL	\$ 130.00

☒ Please charge my Deposit Account No. 09-0466 in the amount of \$ 130.00.
A duplicate copy of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0466. A duplicate copy of this sheet is attached.

☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

☒ Any patent application processing fees under 37 CFR 1.17.

CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231 on

May 24, 1999
Person Mailing paper/fee

Rosalind Kennison

Signature

Rosalind Kennison

Noreen A. Kroll
Noreen A. Kroll
Registration No. 39,734
Attorney for Applicant(s)
Telephone (408) 256-2141
IBM Corporation
Intellectual Property Law
Dept. L2PA/142
5600 Cottle Road
San Jose, CA 95193



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Section \$

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/280,796 03/26/99 L.L.U.I.S.

SA9-98-116

NOREEN A. KRALL
IBM CORPORATION
INTELLECTUAL PROPERTY LAW
5600 COTTLE ROAD (L2PA/0141)
SAN JOSE CA 95193

NOT ASSIGNED

2781

DATE MAILED:

04/14/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

Missing Parts
Doe 6/14/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.
\$ _____ for _____ independent claims over 3.
\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202